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### **CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. 1.10**

In re Application of: Kim et al.

Serial No.: 10/670,413

Filed: September 24, 2003

For: APPARATUS AND METHOD OF USING THIN

FILM MATERIAL AS DIFFUSION BARRIER

FOR METALLIZATION

Attorney Docket No.: 130588.91477

Group Art Unit: 2811

Examiner: Unassigned

Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

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- 1) Supplemental Information Disclosure Statement, together with Form PTO-1449
- 2) Cited References (2)
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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Kim et al.

Serial No.:

10/670,413

Filed:

For:

September 24, 2003

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APPARATUS AND METHOD OF USING THIN FILM MATERIAL AS DIFFUSION

BARRIER FOR METALLIZATION

Art Unit: 2811

Examiner:

Unassigned

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. § 1.97

Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313

Dear Sir:

Applicant hereby brings to the attention of the Examiner the documents noted on the accompanying Form PTO-1449. This Supplemental Information Disclosure Statement is being filed prior to the mailing date of a first Office Action.

It is respectfully requested that the information cited herein be expressly considered during the prosecution of this application and made of record on any patent to issue therefrom.

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Respectfully submitted,

QUARLES & BRADY STREICH LANG, LLP

By:\_

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Attorney Docket No. 130588.91477

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Sheet 1

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

| Complete if Known      |                    |   |  |
|------------------------|--------------------|---|--|
| Application Number     | 10/670,413         | _ |  |
| Filing Date            | September 24, 2003 |   |  |
| First Named Inventor   | Kim et al.         |   |  |
| Art Unit               | 2811               |   |  |
| Examiner Name          |                    |   |  |
| Attorney Docket Number | 120500 01477       | _ |  |

|                    |  |  |                                | DOCUMENTS  |   |
|--------------------|--|--|--------------------------------|--|---|
| Examiner Initials* | Cite<br>No.1                                     | Document Number  Number-Kind Code <sup>2 (# known)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                    |  | US- F 000 450  | 00/04/00                       |  |   |
|                    | Α  | <sup>US-</sup> 5,800,152                                 | 09/01/98                       | Taimatsu et al.                                    |   |
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| FOREIGN PATENT DOCUMENTS |              |   |                     |  |   |   |
|--------------------------|--------------|---|---------------------|--|---|---|
|                          | Cite<br>No.1 | Foreign Patent Document   | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages |   |
|                          |              | Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known) | MM-DD-YYYY          |  | Or Relevant Figures Appear                        | T |
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|-----------------------------------|----------|-----|-----------|------------------------|--------------------|--|
| Substitute for form 1449/F TO     |          |     |           | Applicati n Numb r     | 10/670,413         |  |
| INFO                              | ORMATION | DIS | CLOSURE   | Filing Dat             | September 24, 2003 |  |
| STATEMENT BY APPLICANT            |          |     | PPLICANT  | First Named Inventor   | Kim et al.         |  |
|                                   |          |     |           | Art Unit               | 2811               |  |
| (Use as many sheets as necessary) |          |     | ecessary) | Examiner Name          |                    |  |
| Sheet                             | 2        | of  | 2         | Attorney Docket Number | 130588.91477       |  |

|                    |                          | OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS   |                |
|--------------------|--------------------------|---|----------------|
| Examiner Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|                    | В                        | Moon et al., "Corrosion Resistance of 316L Stainless Steel with Surface Layer of Ni2Al3 or NiAl in Molten Carbonates", J. Power Sources, 115:1-11, 2003   |                |
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| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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